



Atty. Docket: 57792.000003

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12-14-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David A. Hays

Serial No.: 09/866,730

Filed: May 30, 2001

Art Unit: 2161

Examiner: To Be Assigned

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For: METHOD AND SYSTEM FOR PROVIDING AN ONLINE COLLECTIONS
SERVICES MARKETPLACE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant respectfully requests consideration of the references listed on the attached Form PTO/SB/08A. Copies of the references listed are also enclosed.

Applicant respectfully requests that the Examiner consider the references cited on the PTO/SB/08A and that the Examiner indicate that the references have been considered in this application by returning a copy of the Form PTO/SB/08A with the Examiner's initials in the left column per MPEP 609.

In accordance with 37 CFR § 1.97(c), this Supplemental Information Disclosure Statement is being filed (i) before the mailing date of a first Office Action for the above-

identified patent application. Accordingly, this Supplemental Information Disclosure Statement is accompanied by a statement under 37 CFR § 1.97(e).

STATEMENT

The undersigned hereby states under 37 C.F.R. § 1.97(e) that each item of information contained in this Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please charge any shortage in fees due in connection with the filing of this communication to Deposit Account No. 50-0206, and please credit any excess fees to such deposit account.

Date: Dec. 4, 2001

Respectfully submitted,
HUNTON & WILLIAMS


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Approved for use through 10/31/2002. OMB 0651-0031
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/866,730
Filing Date	05-30-2001
First Named Inventor	David A. HAYS
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	57792.000003

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	U1	5,732,400		Mandler et al.	03-24-1998	
	U2	5,881,268		McDonald	03-09-1999	
	U3	5,956,700		Landry	09-21-1999	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	F1							

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	P1	International Search Report dated 11/1/2001, for PCT/US01/17501, Filed 05/31/2001	
Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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